

CERIAS Tech Report 2012-06
Privacy Preserving Policy Based Content Sharing in Public Clouds
by Mohamed Nabeel, Ning Shang, Elisa Bertino
Center for Education and Research
Information Assurance and Security
Purdue University, West Lafayette, IN 47907-2086

Privacy Preserving Policy Based Content Sharing in Public Clouds

Mohamed Nabeel, *Student Member, IEEE*, Ning Shang, *Elisa Bertino Fellow, IEEE*

Abstract—An important problem in public clouds is how to selectively share documents based on fine-grained attribute based access control policies. An approach is to encrypt documents satisfying different policies with different keys using a public key cryptosystem such as attribute based encryption (ABE), and/or proxy re-encryption (PRE). However, such an approach has some weaknesses: it cannot efficiently handle adding/revoking users or identity attributes, and policy changes; it requires to keep multiple encrypted copies of the same documents; it incurs high computational cost. A direct application of a symmetric key cryptosystem, where users are grouped based on the policies they satisfy and assigning unique keys for each group, also has similar weaknesses. We observe that, without utilizing public key cryptography and by allowing users to dynamically derive the symmetric keys at the time of decryption, one can address the above weaknesses. Based on this idea, we formalize a new key management scheme called broadcast group key management (BGKM) and then give a secure construction of a BGKM scheme called ACV-BGKM. The idea is to give some secrets to users based on the identity attributes they have and later allow them to derive actual symmetric keys based on their secrets and some public information. A key advantage of the BGKM scheme is that adding users/revoking users or updating access control policies can be performed efficiently by updating only some public information. Using our BGKM construct, we propose an efficient approach for fine-grained encryption based access control for documents stored in an untrusted cloud file storage.

Index Terms—Group Key Management, Privacy, Identity, Cloud Computing, Policy, Encryption, Access Control

1 INTRODUCTION

With the advent of technologies such as cloud computing, sharing data through a third-party cloud service provider has never been more economical and easier than now. However, such cloud providers cannot be trusted to protect the confidentiality of the data. In fact, data privacy and security issues have been major concerns for many organizations utilizing such services. Data often encode sensitive information and should be protected as mandated by various organizational policies and legal regulations. Encryption is a commonly adopted approach to protect the confidentiality of the data. Encryption alone however is not sufficient as organizations often have to enforce fine-grained access control on the data. Such control is often based on the attributes of users, referred to as *identity attributes*, such as the roles of users in the organization, projects on which users are working and so forth. These systems, in general, are called *attribute based systems*. Therefore, an important requirement is to support fine-grained access control, based on policies specified using identity attributes, over encrypted data.

With the involvement of the third-party cloud services, a crucial issue is that the identity attributes in the access control policies (acps) often reveal privacy-sensitive information about users and leak confidential information about the content. The confidentiality of the content and the privacy of the users are thus not fully protected if the identity attributes are not protected. Further, privacy, both individual as well as organizational, is considered a key requirement in all solutions, including cloud services, for digital identity management [2], [3], [4], [5]. Further, as insider threats [6] are one of the major sources of data theft and privacy breaches, identity attributes must be strongly protected even from accesses within organizations. With initiatives such as cloud computing the scope of insider threats is no longer limited to the organizational perimeter. Therefore, protecting the identity attributes of the users while enforcing attribute-based access control both within the organization as well as in the cloud is crucial.

An approach to support fine-grained selective attribute-based access control before uploading the content to the cloud is to encrypt each content portion to which the same access control policy (or set of policies) applies with the same key. One approach to deliver the correct keys to the users based on the policies they satisfy is to use a hybrid solution where the keys are encrypted using a public key cryptosystem such as attribute based encryption (ABE) and/or proxy re-encryption (PRE). However, such an approach has several weaknesses: it cannot

- A preliminary version of this paper appeared in the *Proceedings of the IEEE International Conference on Data Engineering (ICDE '10)* [1].
- M. Nabeel and E. Bertino are with the Department of Computer Science, Purdue University, West Lafayette, IN, 47907.
N. Shang is with Qualcomm Inc., San Diego, CA, 92121.
Email: nabeel@cs.purdue.edu

efficiently handle adding/revoking users or identity attributes, and policy changes; it requires to keep multiple encrypted copies of the same key; it incurs high computational cost. Therefore, a different approach is required.

It is worth noting that a simplistic group key management (GKM) scheme in which the content publisher directly delivers the symmetric keys to corresponding users has some major drawbacks with respect to user privacy and key management. On one hand, user private information encoded in the user identity attributes is not protected in the simplistic approach. On the other hand, such a simplistic key management scheme does not scale well as the number of users becomes large and when multiple keys need to be distributed to multiple users. The goal of this paper is to develop an approach which does not have these shortcomings.

We observe that, without utilizing public key cryptography and by allowing users to dynamically derive the symmetric keys at the time of decryption, one can address the above weaknesses. Based on this idea, we first formalize a new GKM scheme called broadcast GKM (BGKM) and then give a secure construction of BGKM scheme and formally prove its security. The idea is to give secrets to users based on the identity attributes they have and later allow them to derive actual symmetric keys based on their secrets and some public information. A key advantage of the BGKM scheme is that adding users/revoking users or updating access control policies can be performed efficiently and only requires updating the public information. Our BGKM scheme satisfies the requirements of *minimal trust*, *key indistinguishability*, *key independence*, *forward secrecy*, *backward secrecy* and *collusion resistance* as described in [7] with minimal computational, space and communication cost.

Using our BGKM scheme, we develop an attribute-based access control mechanism whereby a user is able to decrypt the contents if and only if its identity attributes satisfy the content provider's policies, whereas the content provider and the cloud learn nothing about user's identity attributes. The mechanism is fine-grained in that different policies can be associated with different content portions. A user can derive only the encryption keys associated with the portions the user is entitled to access.

The rest of the paper is organized as follows. Section 2 formalizes the notion of BGKM and provides our construction ACV-BGKM and security proofs. Section 3 provides two optimizations to the basic ACV-BGKM scheme. Section 4 provides an overview of our overall scheme. Section 5 shows how to preserve the privacy of identity attributes. Section 6 provides detailed description of our scheme. Section 7 presents experimental results on the basic and the optimized ACV-BGKM construction which is the key component in our scheme. Section 8 discusses related

work. Section 9 concludes the paper and outlines future research directions.

2 BROADCAST GROUP KEY MANAGEMENT

In this section, we first list the requirements for an effective GKM, then give an overview of BGKM schemes and finally present our construction along with security proofs.

2.1 Requirements for a Secure and Effective GKM

Several requirements are identified and discussed by Challe and Seba [7] and others for effective GKM. Generally speaking, an efficient and practical GKM should address the following requirements.

- **Minimal trust** requires the GKM scheme to place trust on a small number of entities.
- **Key hiding** requires that with given public information, it is hard for anyone outside the group to gain the shared group key. Ideally, every element in the keyspace should have the same probability of being the real key.
- **Key independence** requires that the leak of one key does not compromise other keys.
- **Backward secrecy** means that a member who has left the group cannot access any future group keys.
- **Forward secrecy** means that a newly joining group member cannot access any old keys.
- **Collusion resistance** requires that a set of colluding fraudulent users should not obtain keys which they are not allowed to obtain individually.
- **Low bandwidth overhead** requires that the rekeying should not incur a high volume of messages.
- **Computational costs** should be acceptable at both the server and the group member.
- **Storage requirements** for keys and other relevant information should be minimal.
- **Ease of maintenance** requires that a single change of membership in the group does not need many changes to take place for the other group members.
- **Other requirements** include service availability, minimal packet delays, and so on. These factors are sometimes more affected by real-world settings and implementation, and less related to the high-level design of the GKM.

2.2 Broadcast GKM

In order to provide forward and backward secrecy, rekey operations should be performed whenever the users in the group change. Typical GKM schemes require $O(n)$ [8], [9] or at least $O(\log n)$ [10], [11] private communication channels to perform the rekey operation. In comparison, BGKM schemes make rekey a one-off process [12], [13], [14]. In such schemes,

rekeying is performed with a single broadcast without using private communication channels. It should be noted that even though BGKM schemes have some similarity with secret sharing (SS) schemes, they are constructed for different purposes. “ k out of n ” SS schemes [15], [16] are constructed to split a secret among n users and allow to recover the secret by combining at least k secret shares. On the contrary, BGKM schemes allow each valid user to recover the secret by using only their secret share. Also, colluding users, who individually cannot recover the secret, are not able to recover the secret collectively. Unlike conventional GKM schemes, BGKM schemes do not give users the private keys. Instead users are given a secret which is combined with public information to obtain the actual private keys. Such schemes have the advantage that it requires a private communication only once for the initial secret sharing and the subsequent rekeying operations are performed using one broadcast message. Further, such schemes can provide forward and backward security by only changing the public information and without affecting secret shares given to existing users. Based on our preliminary work [17], we propose a provably secure BGKM scheme, called ACV-BGKM (Access Control Vector BGKM), and formalize the notion of BGKM. Further we prove the security of ACV-BGKM.

Definition 1 (BGKM): In general, a BGKM scheme consists of the following five algorithms:

- **Setup(ℓ):** It initializes the BGKM scheme using a security parameter ℓ . It also initializes the set of used secrets \mathbf{S} , the secret space \mathcal{SS} and the key space \mathcal{KS} . All the parameters are collectively denoted as **Param**.
- **SecGen():** It selects a random bit string $s \notin \mathbf{S}$ uniformly at random from the secret space \mathcal{SS} , adds s to \mathbf{S} and outputs s .
- **KeyGen(\mathbf{S}):** It chooses a group key K uniformly at random from the key space \mathcal{KS} and outputs the public information PI computed from the secrets in \mathbf{S} and the group key K .
- **KeyDer(s, PI):** It takes the user’s secret s and the public information PI to output the group key. The derived group key is equal to K if and only if $s \in \mathbf{S}$.
- **Update(\mathbf{S})** Whenever the set \mathbf{S} changes, a new group key K' is generated. Depending on the construction, it either executes the **KeyGen** algorithm again or incrementally updates the output of the last **KeyGen** algorithm.

Now we provide some basic notions and formally define security.

Negligible functions

We call a function $f : \mathbb{N} \rightarrow \mathbb{R}$ *negligible* if for every positive polynomial $p(\cdot)$ there exists an N such that for all $n > N$, we have $f(n) < 1/p(n)$ [18].

Random oracle model

The random oracle model is a paradigm introduced

by Bellare and Rogaway [19] for design and analysis of certain cryptographic protocols. Intuitively, a random oracle is a mathematical function that can be queried by anyone, and maps every query to a uniformly randomly chosen response from its output domain. In practice, random oracles can be used to model cryptographic hash functions in many cryptographic schemes.

A BGKM scheme should allow a valid group member to derive the shared group key, and prohibit anyone outside the group from doing so. Formally speaking, a BGKM scheme should satisfy the following security properties. It must be correct, sound, key hiding, and forward/backward key protecting. Let Svr be the group controller.

Definition 2 (Correctness): Let Usr^1 be a current group member with a secret. Let K and PI be Svr ’s output of the **KeyGen** algorithm. Let K' be Usr ’s output of the **KeyDer** algorithm. A BGKM scheme is *correct* if Usr can derive the correct group key K with overwhelming probability, i.e.,

$$\Pr[K = K'] \geq 1 - f(k),$$

where f is a negligible function in k .

Definition 3 (Soundness): Let Usr be an individual without a valid secret. A BGKM scheme is *sound* if the probability that Usr can obtain the correct group key K by substituting the secret with a value val that is not one of the valid secrets and then following the key derivation phase **KeyDer** is negligible.

We define the following security game to define the key hiding requirement.

- Definition 4 (KeyHide $_{\mathcal{A}, \Pi}$):*
- 1) The Svr , as the challenger, runs the **KeyGen** algorithm of the BGKM scheme Π and gives the parameters **Param** to the adversary \mathcal{A} .
 - 2) \mathcal{A} selects two random keys $K_1, K_2 \in \mathcal{KS}$ and give to the Svr .
 - 3) The Svr flips a random coin $b \in \{0, 1\}$ and selects K_b as the group key and runs the **KeyGen** algorithm.
 - 4) The Svr gives the public information PI of the output of the **KeyGen** algorithm to \mathcal{A} .
 - 5) \mathcal{A} outputs a guess b' of b .
 - 6) The output of the game is defined to be 1 if $b' = b$, and 0 otherwise. We write $\text{KeyHide}_{\mathcal{A}, \Pi} = 1$ if the output is 1 and in this case we say that \mathcal{A} wins the game.

The advantage of \mathcal{A} in this game is defined as $\Pr[\text{KeyHide}_{\mathcal{A}, \Pi} = 1] - 1/2$.

Definition 5 (Key hiding): A BGKM scheme is *key hiding* if given PI , any party which does not have a valid secret cannot distinguish the real group key from a randomly chosen value in the keyspace \mathcal{KS}

1. In what follows we use the term Usr ; however in practice the steps are carried out by the client software transparently to the actual end user.

with nonnegligible probability. More specifically, a BGKM scheme, Π , is *key hiding* if for any adversary \mathcal{A} as a probabilistic interactive Turing machine [20], has a negligible advantage in the key hiding security game 4:

$$\Pr[\text{KeyHide}_{\mathcal{A},\Pi} = 1] \leq 1/2 + f(k),$$

where f is a negligible function in k .

Definition 6 (Forward/backward key protecting):

Suppose Svr runs an **Update** algorithm to generate **Param** for a new shared group key K' , and a previous member Usr is no longer a group member after the **Update** algorithm. Let K be a previous shared group key which can be derived by Usr with a secret. A BGKM scheme is *backward key protecting* if an adversary with knowledge of the secret, K , and the new PI cannot distinguish the new key K' from a random value in the keyspace \mathcal{KS} with nonnegligible probability. Similarly, a BGKM scheme is *forward key protecting* if a new group member Usr after running the **Update** algorithm cannot learn anything about the previous group keys.

2.3 Our Construction

We now provide our construction of BGKM, the ACV-BGKM scheme, under a client-server architecture. The ACV-BGKM scheme satisfies the requirements of *minimal trust*, *key indistinguishability*, *key independence*, *forward secrecy*, *backward secrecy* and *collusion resistance* as described in Section 2.1.

ACV-BGKM algorithms are executed with a trusted key server Svr and a group of users $\text{Usr}_i, i = 1, 2, \dots, n$.

Setup(ℓ): Svr initializes the following parameters: an ℓ -bit prime number q , a cryptographic hash function $H(\cdot) : \{0, 1\}^* \rightarrow \mathbb{F}_q$, where \mathbb{F}_q is a finite field with q elements, the keyspace $\mathcal{KS} = \mathbb{F}_q$, the secret space $\mathcal{SS} = \{0, 1\}^\ell$ and the set of issued secrets $\mathbf{S} = \emptyset$.

SecGen(Usr_i): Svr chooses the secret $s_i \in \mathcal{SS}$ uniformly at random for Usr_i such that $s_i \notin \mathbf{S}$ and adds s_i to \mathbf{S} .

KeyGen(\mathbf{S}): Svr picks a random $K \in \mathcal{KS}$ as the group key. Svr chooses n random bit strings $z_1, z_2, \dots, z_n \in \{0, 1\}^\ell$. Svr creates an $n \times (n + 1)$ \mathbb{F}_q -matrix

$$A = \begin{pmatrix} 1 & a_{1,1} & a_{1,2} & \dots & a_{1,n} \\ 1 & a_{2,1} & a_{2,2} & \dots & a_{2,n} \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 1 & a_{n,1} & a_{n,2} & \dots & a_{n,n} \end{pmatrix},$$

where

$$a_{i,j} = H(s_i || z_j), 1 \leq i \leq n, 1 \leq j \leq n, s_i \in \mathcal{S}. \quad (1)$$

Svr then solves for a nonzero $(n + 1)$ -dimensional column \mathbb{F}_q -vector Y such that $AY = 0$. Note that such a nonzero Y always exists as the nullspace of matrix

A is nontrivial by construction. Here we require that Svr chooses Y from the nullspace of A uniformly at random. Svr constructs an $(n + 1)$ -dimensional \mathbb{F}_q -vector

$$X = K \cdot e_1^T + Y,$$

where $e_1 = (1, 0, \dots, 0)$ is a standard basis vector of \mathbb{F}_q^{n+1} , v^T denotes the transpose of vector v , and k is the chosen group key. The vector X is called an *ACV*, *access control vector*. Svr lets $PI = \langle X, (z_1, z_2, \dots, z_n) \rangle$, and outputs public PI and private K .

KeyDer(s_i, PI): Using its secret s_i and the public information PI , Usr_i computes $a_{i,j}, 1 \leq j \leq n$, as in formula (1) and sets an $(n + 1)$ -dimensional row \mathbb{F}_q -vector $v_i = (1, a_{i,1}, a_{i,2}, \dots, a_{i,n})$. Usr_i derives the group key as $K' = v_i \cdot X$.

Update(\mathbf{S}): It runs the **KeyGen(\mathbf{S})** algorithm and outputs the new public information PI' and the new group key K' .

2.4 Security Analysis

In the security analysis of ACV-BGKM, we will model the cryptographic hash function H as a random oracle. We further assume $q = O(2^k)$ is a sufficiently large prime power. We first present two lemmas with their proofs and then prove the theorems introduced in Section 2.1.

The following lemmas are useful for the security analysis of ACV-BGKM. Lemma 1 says that in a vector space V over a large finite field, the probability that a randomly chosen vector is in a pre-selected subspace, strictly smaller than V , is very small. Lemma 2 will be used in the proof of Theorem 2.

Lemma 1: Let $F = \mathbb{F}_q$ be a finite field of q elements. Let V be an n -dimensional F -vector space, and W be an m -dimensional F -subspace of V , where $m \leq n$. Let v be an F -vector uniformly randomly chosen from V . Then the probability that $v \in W$ is $1/q^{n-m}$.

Proof: The proof is straightforward. We show it here for completeness. Let $\{v_1, v_2, \dots, v_m\}$ be a basis of W . Then it can be extended to a basis of V by adding another $n - m$ basis vector v_{m+1}, \dots, v_n . Any vector $v \in V$ can be written as

$$v = \alpha_1 \cdot v_1 + \dots + \alpha_n \cdot v_n, \quad \alpha_i \in F, 1 \leq i \leq n,$$

and $v \in W$ if and only if $\alpha_i = 0$ for $m + 1 \leq i \leq n$. When v is uniformly randomly chosen from V , it follows

$$\Pr[v \in W] = 1/q^{n-m}.$$

□

Lemma 2: Let $F = \mathbb{F}_q$ be a finite field of q elements. Let $v_i = (1, v_i^{(2)}, \dots, v_i^{(n)})$, $i = 1, \dots, m$, and $1 \leq m < n$, be n -dimensional F -vectors. Let $v = (1, v^{(2)}, \dots, v^{(n)})$ be an n -dimensional F -vector with $v^{(j)}, j \geq 2$ independently and uniformly randomly

chosen from F . Then the probability that v is linearly dependent of $\{v_i, 1 \leq i \leq m\}$ is no more than $1/q^{n-m}$.

Proof: Let $w_i = (v_i^{(2)}, \dots, v_i^{(n)})$, $1 \leq i \leq m$, and $w = (v^{(2)}, \dots, v^{(n)})$. All w_i span an F -subspace W whose dimension is at most m in an $(n-1)$ -dimensional F -vector space. w is a uniformly randomly chosen $(n-1)$ -dimensional F -vector. By Lemma 1,

$$\Pr[w \in W] = 1/q^{n-1-\dim(W)} \leq 1/q^{n-1-m}.$$

It follows that

$$\begin{aligned} & \Pr[v \text{ is linearly dependent of } \{v_i : 1 \leq i \leq m\}] \\ &= \Pr[v = \alpha_1 \cdot v_1 + \dots + \alpha_m \cdot v_m \text{ for some } \alpha_i \in F] \\ &= \Pr \left[\sum_{i=1}^m \alpha_i = 1 \wedge w = \sum_{i=1}^m \alpha_i \cdot v_i \text{ for some } \alpha_i \in F \right] \\ &= \Pr \left[\sum_{i=1}^m \alpha_i = 1 \right] \cdot \Pr[w \in W] \\ &\leq 1/q \cdot 1/q^{n-1-m} = 1/q^{n-m}. \end{aligned}$$

□

Theorem 1: ACV-BGKM is correct.

Proof: The correctness of ACV-BGKM can be easily seen: Knowing its secret s_i and the public values z_1, z_2, \dots, z_n , a group member Usr_i can compute one row of matrix A as

$$v_i = (1, a_{i,1}, a_{i,2}, \dots, a_{i,n}),$$

where $a_{i,j}$, $1 \leq j \leq n$ are as in formula (1). Therefore $v_i \cdot Y = 0$ for ACV Y , and thus the group key can be derived with probability 1 as

$$v_i \cdot X = v_i \cdot (K \cdot e_1^T + Y) = K \cdot v_i \cdot e_1^T = K.$$

□

Theorem 2: ACV-BGKM is sound.

Proof: Let Y be a given access control vector.

Let

$$\{v_i, 1 \leq i \leq n\}$$

be a basis of the nullspace of Y .

Let

$$v = (1, v^{(2)}, \dots, v^{(n+1)}),$$

where

$$v^{(i+1)} = H(\text{val}||z_i), 1 \leq i \leq n.$$

Usr can derive the group key using v by following the **KeyDer** phase if and only if v is linearly dependent of $v_i, 1 \leq i \leq n$. When val is not a valid IST and H is a random oracle, v is indistinguishable from a vector whose first entry is 1 and the other entries are independently and uniformly chosen from \mathbb{F}_q . By Lemma 2, the probability that v is linearly dependent of $\{v_i, 1 \leq i \leq n\}$ is no more than $1/q^{n+1-n} = 1/q$, which is negligible. This proves the soundness of ACV-BGKM. □

Theorem 3: ACV-BGKM is key hiding.

Proof: Let $\text{PI} = \langle X, (z_1, \dots, z_n) \rangle$ be the public information broadcast from Svr . This is the only piece of information seen by the adversary that is related to the group key. By construction, X must be linearly independent of the standard basis vector e_1^T , i.e., X has a nonzero entry after the first position. For any $K \in \mathcal{KS} = \mathbb{F}_q$, let

$$Y = X - K \cdot e_1^T.$$

Then it is clear that all \mathbb{F}_q -vectors v such that $v \cdot Y = 0$ form an n -dimensional \mathbb{F}_q -vector space, say W . It follows that the n basis vectors of W can be chosen in such a way that they all have nonvanishing first entries. Therefore, the number of vectors v with 1 as their first entry such that $v \cdot X = K$ is q^{n-1} , for all $K \in \mathcal{KS}$. When the cryptographic hash function $H(\cdot)$ is modeled as a random oracle and a valid IST is unknown, every such a vector v assumes the same probability when computed as specified in the **KeyDer** algorithm. This implies that every $K \in \mathcal{KS}$ has the same probability, $1/q$, to be the designated group key in the view of the adversary. The key hiding property of ACV-BGKM follows as a direct consequence. Note that ACV-BGKM is key hiding against a computationally unbounded adversary. □

It is clear that “forward/backward key protecting” is a stronger condition than “key hiding.” However, we will use the proof of the latter to show the former.

Theorem 4: ACV-BGKM is forward/backward key protecting.

Proof: (Sketch) We first consider the backward key protecting property of ACV-BGKM. Suppose that after the **Update** algorithm, an adversary has one secret s from the previous session S_0 which do not propagate to the new session S_1 . As the choices of s and the nullspace of the ACV in session S_0 can be viewed as (statistically) jointly independent of the determination of the nullspace of the ACV in session S_1 , when H is modeled as a random oracle and by design of the **Update** algorithm, Usr cannot learn the group key for session S_1 with non-negligible probability due to the key hiding property of ACV-BGKM.

Similarly, ACV-BGKM is forward key protecting. □

Other related GKM security aspects mentioned in Section 1 are briefly discussed as follows.

Minimal trust. In order to protect the shared group key from an adversary outside of the group, ACV-BGKM only requires to use a private channel once between Svr and each Usr , during the **SecGen** algorithm. The security of the ephemeral private channels needs to be guaranteed. Any other communications, including the ones for key issuance and rekeying, are executed via an open broadcast channel.

Key independence. It is clear that the group keys (of different sessions) are independent by ACV-BGKM construction. Furthermore, the secrets are also independent of each other, because they are randomly generated.

Collusion resistance. For BGKM, it only makes sense to consider collusion attacks from outside the group. The case that a valid group member passes its secret or the derived group key to others is not addressed by BGKM. Similar to the analysis for ACV-BGKM’s forward/backward key protecting property, ACV-BGKM is resistant to polynomially computationally bounded adversaries. In particular, colluding group members are not able to get the secrets of other members to derive group keys of earlier or later sessions.

3 IMPROVEMENTS TO BASIC ACV-BGKM

In this section, we improve the performance of our basic ACV-BGKM scheme using two techniques: bucketization and subset cover.

3.1 Bucketization

The proposed key management scheme works efficiently even when there are thousands of users. However, as the upper bound n of the number of involved users gets large, solving the linear system $AY = 0$ over a large finite field \mathbb{F}_q becomes the most computationally expensive operation in our scheme. Solving this linear system with the method of Gaussian-Jordan elimination [21] takes $O(n^3)$ time. Although this computation is executed at the Svr, which is usually capable of carrying on computationally expensive operations, when n is very large, e.g., $n = 100,000$, the resulting costs may be too high for the Svr. Due to the non-linear cost associated with solving a linear system, we can reduce the overall computational cost by breaking the linear system in to a set of smaller linear systems. We follow a two-level approach. In this case, the Svr divides all the involved Usrs into multiple “buckets” (say m) of a suitable size (e.g., 1000 each), computes an intermediate key for each bucket by executing the **KeyGen** algorithm, and then computes the actual group key for all the users by executing the **KeyGen** algorithm with the intermediate keys as the secrets. Note that the intermediate key generation can be parallelized as each bucket is independent. The Svr executes $m+1$ **KeyGen** algorithms of smaller size. The complexity of the **KeyGen** algorithm is proportional to $O(n^3/m^2 + m^3)$. It can be shown that the optimal solution is achieved when m reaches close to $n^{3/5}$.

Each intermediate key is associated with a marker so that Usrs can identify if they have derived a valid intermediate key. For deriving the actual group key, Usrs are required to execute $m+1$ **KeyDer** algorithms in the worst case and 2 in the best case. Since the **KeyDer** algorithm is linear in n , in general, the bucketization optimization still improves the performance of the **KeyDer** algorithm. The complexity of the **KeyGen** algorithm is proportional to $O(n/m+m)$, but the average case runs faster.

3.2 Subset Cover

The bucketization approach becomes inefficient as the bucket size increases. The issue is that the bucketization still utilizes the basic ACV-BGKM scheme. In our basic ACV-BGKM scheme, as each user is given a single secret, it makes the complexity of PI and all algorithms proportional to n , the number of users in the group. We utilize the result from previous research on broadcast encryption [22], [23] to improve the complexity to sub-linear in n . Based on that, one can make the complexity sub-linear in the number of users by giving more than one secret during **SecGen** for each attribute users possess. The secrets given to each user overlaps with different subsets of users. During the **KeyGen**, Svr identifies the minimum number of subsets to which all the users belong and uses one secret per the identified subset. During **KeyDer**, a user identifies the subset it belongs to and uses the corresponding secret to derive the group key. Group dynamics are handled by making some of the secrets given to users invalid.

We give a high-level description of the basic *subset-cover* approach. In the basic scheme, n users are organized as the leaves of a balanced binary tree of height $\log n$. A unique secret is assigned to each vertex in the tree. Each user is given $\log n$ secrets that correspond to the vertices along the path from its leaf node to the root node. In order to provide backward secrecy when a single user is revoked, the updated tree is described by $\log n$ subtrees formed after removing all the vertices along the path from the user leaf node to the root node. To rekey, Svr executes **Update** using the $\log n$ secrets corresponding to the roots of these subtrees. Naor et al. [22] improve this technique to simultaneously revoke r users and describe the exiting users using $r \log(n/r)$ subtrees. Since then, there have been many improvements to the basic scheme. We implement Naor et al.’s complete subset scheme [22] in our experiments.

In our experimental results in Section 7, we show that combining the bucketization and the subset cover techniques, we can very efficiently execute ACV-BGKM algorithms and can support very large user groups.

4 OVERVIEW OF OUR SCHEME

As shown in Figure 1, our scheme for policy based content sharing in the cloud involves four main entities: the *Data Owner* (Owner), the *Users* (Usrs), the *Identity Providers* (IdPs), and the *Cloud Storage Service* (Cloud). The interactions are numbered in the figure. Our approach is based on three main phases: *identity token issuance*, *identity token registration*, and *document management*.

1) Identity token issuance

IdPs issue *identity tokens* for certified identity attributes to Usrs. An identity token is a Usr’s identity

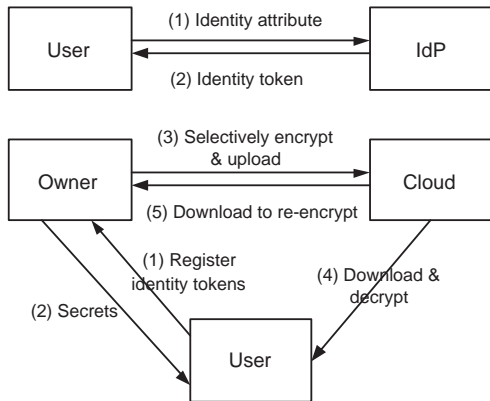


Fig. 1. Overall System Architecture

in a specified electronic format in which the involved identity attribute value is represented by a semantically secure cryptographic *commitment*.² We use the Pedersen commitment scheme and it is described in Section 5.1. Identity tokens are used by Usrs during the registration phase.

2) Identity token registration

In order to be able to decrypt the documents that will be downloaded from the Cloud, Usrs have to register at the Owner. During the registration, each Usr presents its identity tokens and receives from the Owner a set of secrets for each identity attribute based on the **SecGen** algorithm of the ACV-BGKM scheme. These secrets are later used by Usrs to derive the keys to decrypt the subdocuments for which they satisfy the access control policy using the **KeyDer** algorithm of the ACV-BGKM scheme. The Owner delivers the secrets to the Usrs using a privacy-preserving approach based on the OCBE protocols [24] with the Usrs. The OCBE protocols ensure that a Usr can obtain secrets if and only if the Usr's committed identity attribute value (within Usr's identity token) satisfies the matching condition in the Owner's access control policy, while the Owner learns nothing about the identity attribute value. Note that not only the Owner does not learn anything about the actual value of Usrs' identity attributes but it also does not learn which policy conditions are verified by which Usrs, thus the Owner cannot infer the values of Usrs' identity attributes. Thus Usrs' privacy is preserved in our scheme. We give more details about the OCBE protocols in Section 5.2.

3) Document Management

The Owner groups the acps into *policy configurations* (PCs). The documents are divided into subdocuments based on the PCs. The Owner generates the keys based on the acps in each PC using the **KeyGen**

2. A cryptographic commitment allows a user to commit to a value while keeping it hidden and preserving the user's ability to reveal the committed value later.

algorithm of the ACV-BGKM scheme and selectively encrypts the subdocuments. These encrypted subdocuments are then uploaded to the Cloud. Usrs download encrypted subdocuments from the Cloud. The **KeyDer** algorithm of the ACV-BGKM scheme allows Usrs to derive the key K for a given PC using their secrets in an efficient and secure manner. With this scheme, our approach efficiently handles new users and revocations to provide forward and backward secrecy. The system design also ensures that acps can be flexibly updated and enforced by the Owner without changing any information given to Usrs.

5 PRESERVING PRIVACY

As mentioned in Section 4, we utilize cryptographic techniques to protect the privacy of the identity attributes of the users from the Svr while executing the **SecGen** algorithm. Our technique makes sure that Usrs receive secrets only for valid identity attributes while the Svr does not learn the actual identity attribute values. We now give you an overview of the two cryptographic constructs, Pedersen commitments and OCBE protocols, that we use in this regard.

5.1 Pedersen commitment

First introduced in [25], the Pedersen Commitment scheme is an unconditionally hiding and computationally binding commitment scheme which is based on the intractability of the discrete logarithm problem. We describe how it works as follows.

Pedersen Commitment

Setup

A trusted third party T chooses a finite cyclic group G of large prime order p so that the computational Diffie-Hellman problem is hard in G . Write the group operation in G as multiplication. T chooses two generators g and h of G such that it is hard to find the discrete logarithm of h with respect to g , i.e., an integer α such that $h = g^\alpha$. Note that T may or may not know the number α . T publishes (G, p, g, h) as the system's parameters.

Commit

The domain of committed values is the finite field \mathbb{F}_p of p elements, which can be implemented as the set of integers $\mathbb{F}_p = \{0, 1, \dots, p-1\}$. For a party U to commit a value $x \in \mathbb{F}_p$, U chooses $r \in \mathbb{F}_p$ at random, and computes the commitment $c = g^x h^r \in G$.

Open

U shows the values x and r to open a commitment c . The verifier checks whether $c = g^x h^r$.

5.2 OCBE Protocols

The Oblivious Commitment-Based Envelope (OCBE) protocols, proposed by Li and Li [24], provide the capability of delivering information to qualified users in an oblivious way. There are three communications

parties involved in OCBE protocols: a receiver R, a sender S, and a trusted third party T. The OCBE protocols make sure that the receiver R can decrypt a message sent by S if and only if R's committed value satisfies a condition given by a predicate in S's acp, while S learns nothing about the committed value. Note that S does not even learn whether R is able to correctly decrypt the message or not. The supported predicates by OCBE are comparison predicates $>$, \geq , $<$, \leq , $=$ and \neq .

The OCBE protocols are built with several cryptographic primitives:

- 1) The Pedersen commitment scheme.
- 2) A semantically secure symmetric-key encryption algorithm \mathcal{E} , for example, AES, with key length k -bits. Let $\mathcal{E}_{\text{Key}}[M]$ denote the encrypted message M under the encryption algorithm \mathcal{E} with symmetric encryption key Key .
- 3) A cryptographic hash function $H(\cdot)$. When we write $H(\alpha)$ for an input α in a certain set, we adopt the convention that there is a canonical encoding which encodes α as a bit string, i.e., an element in $\{0, 1\}^*$, without explicitly specifying the encoding.

Given the notations as above, we summarize the OCBE protocol for only \geq (GE-OCBE) predicate (due to space limitation) as follows. The OCBE protocols for other predicates can be derived and described in a similar fashion. The protocols' description is tailored to our work, and is stated in a slightly different way than in [24].

The GE-OCBE Protocol works in a bit-by-bit fashion, for attribute values of at most ℓ bits long, where ℓ is a system parameter which specifies an upper bound for the bit length of attribute values such that $2^\ell < p/2$. The GE-OCBE protocol is more complex in terms of description and computation compared to EQ-OCBE ($=$). It works as follows.

Parameter generation

T runs a Pedersen commitment setup protocol to generate system parameters $\text{Param} = \langle G, g, h \rangle$, and outputs the order of G , p . In addition, T chooses another parameter ℓ , which specifies an upper bound for the length of attribute values, such that $2^\ell < p/2$. T outputs $\mathcal{V} = \{0, 1, \dots, 2^\ell - 1\} \subset \mathbb{F}_p$, and $\mathcal{P} = \{\text{GE}_{x_0} : x_0 \in \mathcal{V}\}$, where

$$\text{GE}_{x_0} : \mathcal{V} \rightarrow \{\text{true}, \text{false}\}$$

is a predicate such that $\text{GE}_{x_0}(x)$ is true if and only if $x \geq x_0$.

Commitment

T chooses an integer $x \in \mathcal{V}$ for R to commit. T then randomly chooses $r \in \mathbb{F}_p$, and computes the Pedersen commitment $c = g^x h^r$. T sends x, r, c to R, and sends c to S.

Similarly, an offline alternative also works here.

Interaction

- R makes a data request to S.

- Based on the request, S sends to R a predicate $\text{GE}_{x_0} \in \mathcal{P}$.
- Upon receiving this predicate, R sends to S a Pedersen commitment $c = g^x h^r$.
- Let $d = (x - x_0) \pmod{p}$. R picks $r_1, \dots, r_{\ell-1} \in \mathbb{F}_p$, and sets $r_0 = r - \sum_{i=1}^{\ell-1} 2^i r_i$. If $\text{GE}_{x_0}(x)$ is true, let $d_{\ell-1} \dots d_1 d_0$ be d 's binary representation, with d_0 the lowest bit. Otherwise if GE_{x_0} is false, R randomly chooses $d_{\ell-1}, \dots, d_1 \in \{0, 1\}$, and sets $d_0 = d - \sum_{i=1}^{\ell-1} 2^i d_i \pmod{p}$. R computes ℓ commitments $c_i = g^{d_i} h^{r_i}$ for $0 \leq i \leq \ell - 1$, and sends all of them to S.
- S checks that $c g^{-x_0} = \prod_{i=0}^{\ell-1} (c_i)^{2^i}$. S randomly chooses ℓ bit strings $k_0, \dots, k_{\ell-1}$, and sets $k = H(k_0 \parallel \dots \parallel k_{\ell-1})$. S picks $y \in \mathbb{F}_p^*$, and computes $\eta = h^y, C = \mathcal{E}_k[M]$, where M is the message containing requested data. For each $0 \leq i \leq \ell - 1$ and $j = 0, 1$, S computes $\sigma_i^j = (c_i g^{-j})^y, C_i^j = H(\sigma_i^j) \oplus k_i$. S sends to R the tuple $\langle \eta, C_0^0, C_0^1, \dots, C_{\ell-1}^0, C_{\ell-1}^1, C \rangle$.

Open

After R receives the tuple $\langle \eta, C_0^0, C_0^1, \dots, C_{\ell-1}^0, C_{\ell-1}^1, C \rangle$ from S as above, R computes $\sigma_i^j = \eta^{r_i}$, and $k_i^j = H(\sigma_i^j) \oplus C_i^j$, for $0 \leq i \leq \ell - 1$. R then computes $k' = H(k_0^0 \parallel \dots \parallel k_{\ell-1}^0)$, and decrypts C using key k' .

EQ-OCBE protocol is simpler and more efficient compared GE-OCBE protocol. The OCBE protocol for the \leq predicates (LE-OCBE) can be constructed in a similar way as GE-OCBE. Other OCBE protocols (for $\neq, <, >$ predicates) can be built on EQ-OCBE, GE-OCBE and LE-OCBE.

All these OCBE protocols guarantee that the receiver R can decrypt the message sent by S if and only if the corresponding predicate is evaluated as true at R's committed value, and that S does not learn

6 OUR SCHEME

In this section we describe our scheme in detail. As introduced in Section 4, our scheme has three phases: identity token issuance, identity token registration and document management. We did not consider the technical details and privacy in Section 4. In this section we make our scheme privacy preserving using the techniques introduced in Section 5. We explain our approach using the ACV-BGKM scheme with the subset cover optimization as a key building block.

6.1 Identity Token Issuance

The IdP runs a Pedersen commitment setup algorithm to generate system parameters $\text{Param} = \langle G, g, h \rangle$. The

IdP publishes Param as well as the order p of the finite group G . The IdP also publishes its public key for the digital signature algorithm it is using. Such parameters are used by the IdP to issue *identity tokens* to Usrs. We assume that the IdP first checks the valid of identity attributes Usrs hold³. Usrs present to the IdP their identity attributes to receive *identity tokens* as follows. For each identity attribute shown by a Usr, the IdP encodes the identity attribute value as $x \in \mathbb{F}_p$ in a standard way, and issues the Usr an identity token. An identity token is a tuple

$$\mathcal{IT} = (\text{nym}, \text{id-tag}, c, \sigma),$$

where nym is a pseudonym for uniquely identifying the Usr in the system, id-tag is the tag of the identity attribute under consideration, $c = g^x h^r$ is a Pedersen commitment for the value x , and σ is the IdP's digital signature for $\text{nym}, \text{id-tag}$ and c . The IdP passes values x and r to the Usr for the Usr's private use. We require that all identity tokens of the same Usr have the same nym ,⁴ so that the Usr and its identity tokens can be uniquely matched with a nym . Once the identity tokens are issued, they are used by Usrs for proving the satisfiability of the Pub's acps; Usrs keep their identity attribute values hidden, and never disclose them in clear during the interactions with other parties.

Example 1: Suppose a Usr Bob presents his driver's license to IdP to receive an identity token for his age. IdP assigns Bob a pseudonym pn-1492 . IdP deduces from the birth date on Bob's driver's license that Bob's age is $x = 28$. The IdP randomly chooses a value $r = 9270$, and computes a Pedersen commitment $c = g^x h^r$. The IdP then digitally signs the message containing Bob's pseudonym, a tag for "age" and the commitment c . The identity token Bob receives from the IdP may look like this:

$$\mathcal{IT} = (\text{pn-1492}, \text{age}, 6267292101, 949148425702313975).$$

6.2 Identity Token Registration

We assume that the Owner defines a set of acps denoted as \mathcal{ACPB} that specifies which subdocuments Usrs are authorized to access. Access control policies are formally defined as follows.

Definition 7: (Attribute Condition).

An attribute condition cond is an expression of the form: " $\text{name}_A \text{ op } l$ ", where name_A is the name of an identity attribute A , op is a comparison operator such as $=, <, >, \leq, \geq, =$, and l is a value that can be assumed by attribute A .

Definition 8: (Access control policy).

An access control policy (acp) is a tuple (s, o, \mathcal{D})

3. The IdP can verify the validity of Usr's identity either in a traditional way, e.g., through a on-the-spot registration, or digitally over computer networks. We will not dive into the details of identity validity check in this paper.

4. In practice, this can be achieved by requesting the Usr to present a strong identifier that correlates with the identity being registered. Again, we will not discuss this process in this paper.

where: o denotes a set of portions (subdocuments) $\{\mathcal{D}_1, \dots, \mathcal{D}_t\}$ of document \mathcal{D} ; and s is a Boolean formula of attribute conditions $\text{cond}_1, \dots, \text{cond}_n$ that must be satisfied by a Usr to have access to o .⁵

Example 2: The acp

("level \geq 58" \wedge "role = nurse",

{physical exam, treatment plan}, "EHR.xml")

states that a Usr of level no lower than 58 and holding a nurse position has access to the subdocuments "physical exam" and "treatment plan" of document EHR.xml.

Different acps can apply to the same subdocuments because such subdocuments may have to be accessed by different categories of Usrs. We denote the set of acps that apply to a subdocument as *policy configuration*.

Definition 9: (Policy configuration).

A policy configuration (PC) for a subdocument \mathcal{D}_1 of a document \mathcal{D} is a set of policies $\{\text{acp}_1, \dots, \text{acp}_k\}$ where $\text{acp}_i, i = 1, \dots, k$ is an acp (s, o, \mathcal{D}) such that $\mathcal{D}_1 \in o$.

There can be multiple subdocuments in \mathcal{D} which have the same PC. For each PC of \mathcal{D} , the Owner randomly chooses a key K for a symmetric key encryption algorithm (e.g. AES), and uses K to encrypt all subdocuments associated with this policy configuration. Therefore, if a Usr satisfies $\text{acp}_1, \dots, \text{acp}_m$, Owner must make sure that the Usr can derive all the symmetric keys to decrypt those subdocuments to which a policy configuration containing at least one $\text{acp}_i (i = 1, \dots, m)$ applies.

As in our ACV-BGKM based scheme the actual symmetric keys are not delivered along with the encrypted documents, a Usr has to register its identity tokens at the Owner in order to derive the symmetric encryption key from the PI stored at the Cloud. The **SecGen** algorithm of the ACV-BGKM scheme and the OCBE techniques are used to register user identity tokens in a privacy preserving manner. During the registration, a Usr receives a set of secrets, based on the identity attribute names corresponding to the attribute names in the identity tokens. Note that secrets are generated by the Owner only based on the names of identity attributes and not on their values. Therefore, a Usr may receive an encrypted set of secrets corresponding to a condition which has a value that the Usr's identity attribute does not satisfy. However, in this case, the Usr will not be able to extract the secrets from the message delivering it as shown in Section 5.2. Proper secrets are later used by a Usr to compute symmetric decryption keys for particular subdocuments of the encrypted documents, as discussed in the document management phase. The delivery of secrets are performed in such a way

5. In what follow we use the dot notation to denote the different components of an acp.

that the *Usr* can correctly receive secrets if and only if the *Usr* has an identity token whose committed identity attribute value satisfies an attribute condition in *Owner*'s *acp*, while the *Owner* does not learn any information about the *Usr*'s identity attribute value and does not learn whether *Usr* has been able to obtain the *CSS*.

To enable *Usrs* registration, the *Owner* first chooses the *OCBE* parameters: an ℓ' -bit prime number q , a cryptographic hash function $H(\cdot)$ whose output bit length is no shorter than ℓ' , and a semantically secure symmetric-key encryption algorithm with key length ℓ' bits. The *Owner* publishes these parameters. The *Owner* also constructs a subset cover tree with n leaf nodes corresponding to each *Usr* for each distinct attribute condition in *acps*. Let SC_j be the subset cover for the attribute condition cond_j . Then for an *acp* in \mathcal{ACPB} that a subscriber Usr_i under pseudonym nym_i wants to satisfy, it selects and registers an identity token $IT = (\text{nym}_i, \text{id-tag}, c, \sigma)$ with respect to each attribute condition cond_j in *acp*. Note that Usr_i does not register only for the attribute condition which the Usr_i 's identity token satisfies; to assure privacy, Usr_i registers its identity token for more attribute conditions whose identity attribute name matches the *id-tag* contained in the identity token. In this way, the *Owner* cannot infer from Usr_i 's registration which condition Usr_i is actually interested in. Such measures greatly reduce the leaking of identity attributes due to insider threats.

The *Owner* checks if *id-tag* matches the name of the identity attribute in cond_j , and verifies the *IdP*'s signature σ using the *IdP*'s public key. If either of the above steps fails, the *Owner* aborts the interaction. Otherwise, the *Owner* selects the corresponding secrets from the subset cover SC_j for Usr_i . The *Owner* then starts an *OCBE* session as a sender (S) to obliviously transfer these secrets to Usr_i who acts as a receiver (R). The *Owner* maintains a matrix \mathcal{T} to store if secrets are delivered to each Usr_i for each cond_j . Upon the completion of the *OCBE* session the *Owner* performs the following actions:

- If nym_i does not exist in the matrix, it first creates a row for it.
- It sets $r_{i,j}$ cell of \mathcal{T} with respect to nym_i and cond_j .

We remark that all secrets are independent, so the above secret delivery process can be executed in parallel. Matrix \mathcal{T} is used by the *Owner* to execute the *KeyGen* algorithm of the *ACV-BGKM* scheme.

Example 3: Matrix 1 shows an example of matrix \mathcal{T} . A *Usr* under pseudonym pn-0012 who has an identity token with respect to identity tag *role* registers for all attribute conditions (“*role = doc*” and “*role = nur*” are shown in Table 1) involving identity attribute *role*. This *Usr* does not register for attribute

conditions “*level \geq 59*”, “*YoS \geq 5*”⁶ and “*YoS $<$ 5*”, either because it does not hold an identity token with identity tag *level* or *YoS*, thus cannot register, or because it chooses not to register as it only needs to access subdocuments whose associated *acp* does not require conditions for these attributes. A drawback of registering only for the conditions required is that it may allow an attacker to infer certain attributes about the *Usr* with high confidence. To protect against such attacks the *Usr* may choose to register for more than one condition as explained earlier. Note that the *Usr* under pn-0829 registers for both conditions $\text{YoS} \geq 5$ and $\text{YoS} < 5$, which are mutually exclusive and thus both cannot be satisfied by any *Usr*. The registration for both conditions is crucial for privacy in that it prevents the *Pub* from inferring from the *Usr*'s registration behavior which condition the *Usr* is actually interested in. A *Usr* under pn-1492 registers for all five attribute conditions.

TABLE 1
A table of *CSSs* maintained by the *Pub*

<i>nym</i>	<i>level \geq 59</i>	<i>YoS \geq 5</i>	<i>YoS $<$ 5</i>	<i>role = doc</i>	<i>role = nur</i>	...
pn-0012	\perp	\perp	\perp	1	1	...
pn-0829	1	1	1	\perp	\perp	...
pn-1492	1	1	1	1	1	...
...

6.3 Document Management

Recall that the *Owner* encrypts all subdocuments with the same *PC* applicable with the same symmetric key. Therefore, the *Owner* execute the *KeyGen* algorithm of the *ACV-BGKM* for each *PC*. For a given *PC*, the *Owner* first identifies the secrets to be considered as follows.

- The *Owner* first converts each *acp* into *DNF* (Disjunctive Normal Form). For each unique conjunctive term, it executes the remaining steps.
- Let i^{th} conjunctive term be $\bigwedge_{j=1}^{\phi_i} \text{cond}_j$, where the term has ϕ_i conditions. The *Owner* iterates through the secrets matrix \mathcal{T} , and finds the set of users who satisfy all the conditions in each conjunctive term.
- At the end of the previous step, the *Owner* has the list of *Usrs* who satisfy the *PC*, their association with the subset covers SC_i for each applicable cond_i . The *Owner* identifies the covers in each SC_i and the secrets corresponding the covers. The *Owner* aggregates by concatenating secrets in the order of the conditions in the conjunctive terms to produce a single secret for each user satisfying the conjunctive terms. For example, if the conjunctive term is $\text{cond}_1 \wedge \text{cond}_3$ and Usr_5 satisfies the term, the *Owner* obtains the cover secrets s_1 and s_3 from SC_1 for Usr_5 and SC_3 for Usr_5 respectively. The aggregated secret is $s_1 || s_3$.

6. *YoS* means “years of service”.

The set of aggregated secrets from the above algorithm is used as the input to the **KeyGen** algorithm which produces the public information PI and the symmetric group key k . The **Owner** creates an index of the public information tuples and associate with the encrypted subdocuments, and uploads them to the **Cloud**.

If a **Usr** with nym_i wants to view the subdocument D_1 , it first downloads the encrypted subdocument along with the PI . It then picks an acp_k that it satisfies and derive the key using the **KeyDer** algorithm.

Now we look at how to handle system dynamics such as adding/revoking credentials and acp updates.

When a new user **Usr** registers at the **Owner**, the **Owner** delivers corresponding secrets to **Usr**, and updates the matrix \mathcal{T} . The **Owner** then performs a rekey process for all involved subdocuments (or equivalently, policy configurations) using the **Update** algorithm. When **Owner** uploads new documents, it also uploads the updated PI index.

During credential revocations, the conditions under which a **Usr** needs to be revoked is out of the scope of this paper. We assume that the **Owner** will be notified when a **Usr** with a pseudonym nym_i is revoked from those who may satisfy $cond_j$. In this case, the **Owner** simply reset the value $r_{i,j}$ from matrix \mathcal{T} , and performs a rekey process for all involved subdocuments. Allowing particular secrets to be deleted from \mathcal{T} enables a fine-tuned user management.

A **Usr**'s credentials may have to be updated over time for various reasons such as promotions, change of responsibilities, etc. In this case, the **Usr** with a pseudonym nym_i submits updated credential $cond_j$ to the **Owner**. The **Owner** simply resets the old $r_{i,j}$ entry and set a new entry in the matrix \mathcal{T} , and performs a rekey process only for the subdocuments involved.

When a **Usr** with a pseudonym nym_i needs to be removed, the **Owner** removes the row corresponding to nym_i from the matrix \mathcal{T} , and performs a rekey process only for the subdocuments involved.

Note that in all cases of new subscription, credential revocation, credential update and subscription revocation, the rekey process does not introduce any cost to **Usrs** in that except for those whose identity attributes are added, updated or revoked, no **Usr** needs to directly communicate with the **Owner** to update secrets—new encryption/decryption keys can be derived by using the original secrets and updated public values stored at the **Cloud**. The ability to derive the secret encryption/decryption keys using public values is a key point to achieve transparency in subscription handling. Most of the existing GKM scheme fails to achieve this objective.

7 EXPERIMENTAL RESULTS

In this section, we present experimental results for the optimized ACV-BGKM scheme which is the heart of

our scheme. We refer the reader to our preliminary work [17] for the experimental results on the OCBE protocols and the basic ACV-BGKM scheme.

The experiments were performed on a machine running GNU/Linux kernel version 2.6.32 with an Intel® Core™ 2 Duo CPU T9300 2.50GHz and 4 Gbytes memory. Only one processor was used for computation. The code is built with 32-bit gcc version 4.4.3, optimization flag `-O2`. For the ACV-BGKM scheme, we use V. Shoup's NTL library [26] version 5.4.2 for finite field arithmetic, and SHA-1 implementation of OpenSSL [27] version 0.9.8 for cryptographic hashing.

We implemented the ACV-GKM scheme with both the bucketization and the subset cover optimizations. We utilized the complete subset algorithm introduced by Naor et. al. [22] for the subset cover. We assumed that 5% of the users satisfying a given PC are revoked. With the bucketization optimization, we assumed the average case for the **KeyDer** algorithm where **Usrs** require to derive half of the intermediate keys before deriving the group key. For the experiments involving fixed number of buckets, 10 buckets are utilized. All finite field arithmetic operations in our scheme are performed in an 512-bit prime field.

Figure 2 reports the average time spent to execute the **KeyGen** algorithm of the ACV-BGKM scheme without any optimizations, with bucketization, and with subset cover optimization for different group sizes. The bucketization outperforms the base scheme as it divides the non-linear **KeyGen** algorithm into smaller and more efficient computations. Subset-cover optimization provides even better performance as it reduces the effective group size considerably by sharing secrets among multiple **Usrs**. As shown in Figure 3, the **KeyDer** algorithm has similar results.

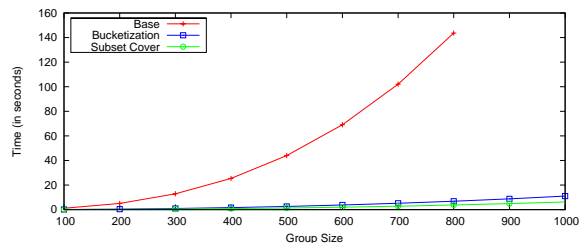


Fig. 2. Average time to generate keys

Figure 4 shows the average time to execute the **KeyGen** algorithm for 2500 and 5000 user groups with an increasing number of buckets. When more buckets are utilized, the size of the problem the **KeyGen** has to solve reduces and, hence, the bucketization provides a better performance. However, as mentioned in Section 3.1, the performance starts to degrade as the number of buckets is greater than the optimal number of buckets. For $n = 2500$ and 5000, the optimal number of buckets are around 100 and 150 respectively. These values are consistent with the theoretical

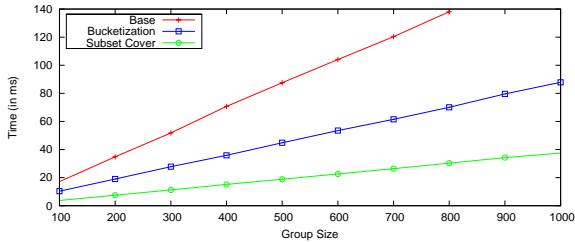


Fig. 3. Average time to derive keys

minimum overhead. Under similar settings, Figure 5 shows the time to execute the **KeyDer** algorithm. The key derivation time slowly increases as the number of buckets increases because the complexity of the second level **KeyDer** function increases.

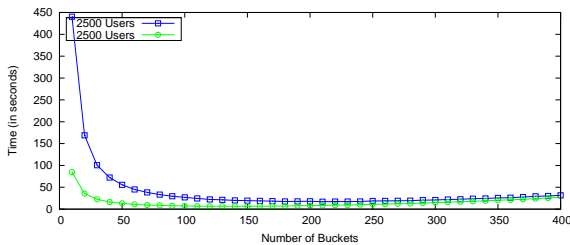


Fig. 4. Average time to generate keys with different bucket sizes

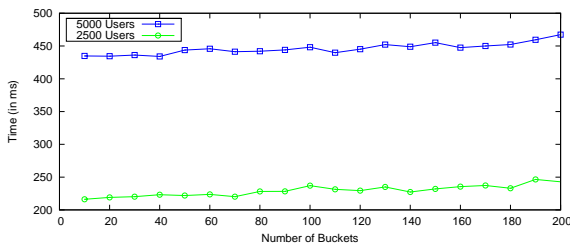


Fig. 5. Average time to derive keys with different bucket sizes

We closely analyzed the two optimizations. Figure 6 shows the average time to execute the **KeyGen** algorithm with the bucketization, the subset cover and both where the bucketization is applied after the subset cover technique. Both techniques together provides a huge performance improvement. Under the similar setting, as shown in Figure 7, the **KeyGen** also performs much better compared to the individual optimizations.

8 RELATED WORK

Approaches closely related to our work have been investigated in different areas: group key management, attribute based encryption, selective publication and broadcast of documents, and secure data outsourcing.

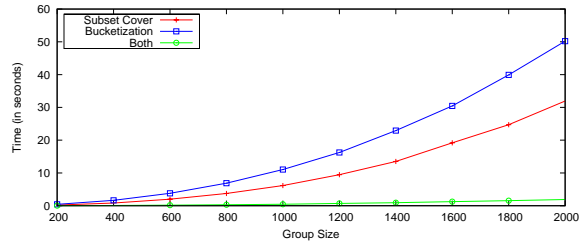


Fig. 6. Average time to generate keys with the two optimizations

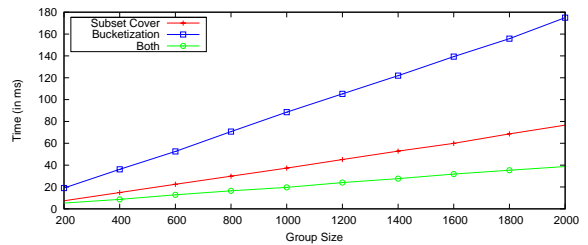


Fig. 7. Average time to derive keys with the two optimizations

Group Key Management (GKM): Section 2.2 discusses previous research efforts on the traditional GKM and the BGKM. Additionally, a recent research effort introduces a related BGKM approach based on access control polynomials [14]. This approach encodes secrets given to users at registration phase in a special polynomial of order at least n in such a way that users can derive the secret key from this polynomial. The special polynomials used in this approach represent only a small subset of domain of all the polynomials of order n , and the security of the approach is neither fully analyzed nor proven. Further, it appears that the security of the scheme weakens as n increases.

Attribute Based Encryption (ABE): ABE [28] is another approach for implementing encryption-based access control to documents. Under such an approach, users are able to decrypt subdocuments if they satisfy certain policies. ABE has two variations: KP-ABE (Key Policy ABE) where encrypted documents are associated with attributes and user keys with policies [29]; CP-ABE (Ciphertext Policy ABE) where user keys are associated with attributes and encrypted documents with policies [30]. In either cases the cost of key management is minimized by using attributes that can be associated with users. Further, an ABE based approach supports expressive acps. However, such an approach suffers from some major drawbacks. Whenever the group dynamic changes, the rekeying operation requires to update the private keys given to existing members in order to provide backward/forward secrecy. This in turn requires establishing private communication channels with each group member which

is not desirable in a large group setting. Further, in applications involving stateless members where it is not possible to update the initially given private keys and the only way to revoke a member is to exclude it from the public information, an ABE based approach does not work. Another limitation is that whenever the group membership policy changes, new private keys must be re-issued to members of the group. Our constructions address these shortcomings.

Selective Dissemination: The database and security communities have carried out extensive research concerning techniques for the selective dissemination of documents based on access control policies [31], [32], [33]. These approaches fall in the following two categories.

- 1) Encryption of different subdocuments with different keys, which are provided to users at the registration phase, and broadcasting the encrypted subdocuments to all users [31], [32].
- 2) Selective multicast of different subdocuments to different user groups [33], where all subdocuments are encrypted with one symmetric encryption key.

The latter approaches assume that the users are honest and do not try to access the subdocuments to which they do not have access authorization. Therefore, these approaches provide neither backward nor forward key secrecy. In the former approaches, users are able to decrypt the subdocuments for which they have the keys. However, such approaches require all [31] or some [32] keys be distributed in advance during user registration phase. This requirement makes it difficult to assure forward and backward key secrecy when user groups are dynamic with frequent join and leave operations. Further, the rekey process is not transparent, thus shifting the burden of acquiring new keys on existing users when others leave or join. Having identified these problems, our preliminary work [17], proposes an approach to make rekey transparent to users by not distributing actual keys during the registration phase. However, the security of the approach is not analyzed and it cannot handle large user groups.

Secure Data Outsourcing: With the increasing utilization of cloud computing services, there has been a real need to access control the encrypted documents stored in an untrusted third party. Our work falls into this category. There has been some recent research efforts [34], [35] to construct privacy preserving access control systems by combining oblivious transfer and anonymous credentials. The goal of such work is similar to ours but we identify the following limitations. Each transfer protocol allows one to access only one record from the database, whereas our approach does not have any limitation on the number of records that can be accessed at once since we separate the access control from the authorization. Another drawback is that the size of the encrypted database is not constant

with respect to the original database size. Redundant encryption of the same record is required to support acps involving disjunctions. However, our approach encrypts each data item only once as we have made the encryption independent of acps. Yu et al. [36] proposed an approach based on ABE utilizing PRE (Proxy Re-Encryption) to handle the revocation problem of ABE. While it solves the revocation problem to some extent, it does not preserve the privacy of the identity attributes as in our approach.

9 CONCLUSIONS

We formalized the notion of broadcast group key management (BGKM) and proved the security of our BGKM scheme, ACV-BGKM scheme. Further, we proposed optimizations to significantly improve the performance of the ACV-BGKM scheme. Based on our BGKM scheme, we have proposed an approach to support attribute-based access control while preserving privacy of users' identity attributes for sharing documents in an untrusted cloud storage service. Our approach is supported by a new group key management scheme which is secure and allows qualified users to efficiently extract decryption keys for the portions of documents they are allowed to access, based on the subscription information they have received from the data owner. The scheme efficiently handles joining and leaving of guaranteed, with guaranteed security. Experimental results show that users efficiently derive decryption keys, and the data owner can efficiently large number of users.

As future work, we plan to add traitor tracing and privacy preserving querying capabilities to our approach.

ACKNOWLEDGMENTS

The work reported in this paper has been partially supported by the MURI award FA9550-08-1-0265 from the Air Force Office of Scientific Research.

REFERENCES

- [1] N. Shang, M. Nabeel, F. Paci, and E. Bertino, "A privacy-preserving approach to policy-based content dissemination," in *ICDE '10: Proceedings of the 2010 IEEE 26th International Conference on Data Engineering*, 2010.
- [2] "Liberty Alliance," <http://www.projectliberty.org/>.
- [3] "OpenID," <http://openid.net/>.
- [4] "Windows CardSpace," <http://msdn.microsoft.com/en-us/library/aa480189.aspx>.
- [5] "Higgins Open Source Identity Framework," <http://www.eclipse.org/higgins/>.
- [6] R. Richardson, "CSI Computer Crime and Security Survey," <http://www.ppclub.org/CSISurvey2008.pdf>, Computer Security Institute, Tech. Rep., 2008.
- [7] Y. Challal and H. Seba, "Group key management protocols: A novel taxonomy," *International Journal of Information Technology*, vol. 2, no. 2, pp. 105–118, 2006.
- [8] H. Harney and C. Muckenhirn, "Group key management protocol (gkmp) specification," Network Working Group, United States, Tech. Rep., 1997.

- [9] H. Chu, L. Qiao, K. Nahrstedt, H. Wang, and R. Jain, "A secure multicast protocol with copyright protection," *SIGCOMM Comput. Commun. Rev.*, vol. 32, no. 2, pp. 42–60, 2002.
- [10] C. Wong and S. Lam, "Keystone: a group key management service," in *International Conference on Telecommunications, ICT*, 2000.
- [11] A. Sherman and D. McGrew, "Key establishment in large dynamic groups using one-way function trees," *Software Engineering, IEEE Transactions on*, vol. 29, no. 5, pp. 444–458, May 2003.
- [12] G. Chiou and W. Chen, "Secure broadcasting using the secure lock," *Software Engineering, IEEE Transactions on*, vol. 15, no. 8, pp. 929–934, Aug 1989.
- [13] S. Berkovits, "How to broadcast a secret," in *EUROCRYPT '91: Proceedings of the 10th annual international conference on Advances in Cryptology*. Berlin, Heidelberg: Springer-Verlag, 1991, pp. 535–541.
- [14] X. Zou, Y. Dai, and E. Bertino, "A practical and flexible key management mechanism for trusted collaborative computing," *INFOCOM 2008. The 27th Conference on Computer Communications*. IEEE, pp. 538–546, April 2008.
- [15] A. Shamir, "How to share a secret," *Commun. ACM*, vol. 22, no. 11, pp. 612–613, 1979.
- [16] E. F. Brickell, "Some ideal secret sharing schemes," in *EUROCRYPT '89: Proceedings of the workshop on the theory and application of cryptographic techniques on Advances in cryptology*. New York, NY, USA: Springer-Verlag New York, Inc., 1990, pp. 468–475.
- [17] N. Shang, M. Nabeel, F. Paci, and E. Bertino, "A privacy-preserving approach to policy-based content dissemination," in *ICDE '10: Proceedings of the 2010 IEEE 26th International Conference on Data Engineering*, 2010.
- [18] O. Goldreich, *Foundations of Cryptography: Basic Tools*. New York, NY, USA: Cambridge University Press, 2000.
- [19] M. Bellare and P. Rogaway, "Random oracles are practical: a paradigm for designing efficient protocols," in *CCS '93: Proceedings of the 1st ACM conference on Computer and communications security*. New York, NY, USA: ACM, 1993, pp. 62–73.
- [20] S. Goldwasser, S. Micali, and C. Rackoff, "The knowledge complexity of interactive proof-systems," in *STOC '85: Proceedings of the seventeenth annual ACM symposium on Theory of computing*. New York, NY, USA: ACM, 1985, pp. 291–304.
- [21] D. Dummit and R. Foote, "Gaussian-Jordan elimination," in *Abstract Algebra*, 2nd ed. Wiley, 1999, p. 404.
- [22] D. Naor, M. Naor, and J. B. Latspiech, "Revocation and tracing schemes for stateless receivers," in *Proceedings of the 21st Annual International Cryptology Conference on Advances in Cryptology*, ser. CRYPTO '01. London, UK: Springer-Verlag, 2001, pp. 41–62.
- [23] D. Halevy and A. Shamir, "The lsd broadcast encryption scheme," in *Proceedings of the 22nd Annual International Cryptology Conference on Advances in Cryptology*, ser. CRYPTO '02. London, UK: Springer-Verlag, 2002, pp. 47–60.
- [24] J. Li and N. Li, "OACerts: Oblivious attribute certificates," *IEEE Transactions on Dependable and Secure Computing*, vol. 3, no. 4, pp. 340–352, 2006.
- [25] T. Pedersen, "Non-interactive and information-theoretic secure verifiable secret sharing," in *CRYPTO '91: Proceedings of the 11th Annual International Cryptology Conference on Advances in Cryptology*. London, UK: Springer-Verlag, 1992, pp. 129–140.
- [26] V. Shoup, "NTL library for doing number theory," <http://www.shoup.net/ntl/>.
- [27] "OpenSSL the open source toolkit for SSL/TLS," <http://www.openssl.org/>.
- [28] A. Sahai and B. Waters, "Fuzzy identity-based encryption," in *Eurocrypt 2005, LNCS 3494*. Springer-Verlag, 2005, pp. 457–473.
- [29] V. Goyal, O. Pandey, A. Sahai, and B. Waters, "Attribute-based encryption for fine-grained access control of encrypted data," in *CCS '06: Proceedings of the 13th ACM conference on Computer and communications security*. New York, NY, USA: ACM, 2006, pp. 89–98.
- [30] J. Bethencourt, A. Sahai, and B. Waters, "Ciphertext-policy attribute-based encryption," in *SP '07: Proceedings of the 2007 IEEE Symposium on Security and Privacy*. Washington, DC, USA: IEEE Computer Society, 2007, pp. 321–334.
- [31] E. Bertino and E. Ferrari, "Secure and selective dissemination of XML documents," *ACM Trans. Inf. Syst. Secur.*, vol. 5, no. 3, pp. 290–331, 2002.
- [32] G. Miklau and D. Suciu, "Controlling access to published data using cryptography," in *VLDB '2003: Proceedings of the 29th international conference on Very large data bases*. VLDB Endowment, 2003, pp. 898–909.
- [33] A. Kundu and E. Bertino, "Structural signatures for tree data structures," *Proc. VLDB Endow.*, vol. 1, no. 1, pp. 138–150, 2008.
- [34] S. Coull, M. Green, and S. Hohenberger, "Controlling access to an oblivious database using stateful anonymous credentials," in *Irvine: Proceedings of the 12th International Conference on Practice and Theory in Public Key Cryptography*. Berlin, Heidelberg: Springer-Verlag, 2009, pp. 501–520.
- [35] J. Camenisch, M. Dubovitskaya, and G. Neven, "Oblivious transfer with access control," in *CCS '09: Proceedings of the 16th ACM conference on Computer and communications security*. New York, NY, USA: ACM, 2009, pp. 131–140.
- [36] S. Yu, C. Wang, K. Ren, and W. Lou, "Attribute based data sharing with attribute revocation," in *Proceedings of the 5th ACM Symposium on Information, Computer and Communications Security*, ser. ASIACCS '10. New York, NY, USA: ACM, 2010, pp. 261–270.

Mohamed Nabeel is a PhD candidate at the department of computer science, Purdue university. He is also a member of the Center for Education and Research in Information Assurance and Security (CERIAS), IEEE and ACM. His research interests are in data privacy, distributed system security and applied cryptography. His PhD thesis topic is "Fine Grained Content Sharing using Attribute Based Group Key Management". His research adviser is prof. Elisa Bertino. He has published in the areas of privacy preserving content dissemination and group key management. He received the Fulbright fellowship in 2006, Purdue Cyper Center research grant in 2010 and Purdue research foundation grant in 2011.

Ning Shang is a product security engineer at Qualcomm Inc. He received his PhD degree in mathematics from Purdue University, West Lafayette, Indiana. Before coming to Qualcomm, he was a post-doc researcher in the Department of Computer Science at Purdue University and a software development engineer at Microsoft. His research interests include algorithmic number theory, curve-based cryptography, and design and implementation of security systems.

Elisa Bertino is Professor of Computer Science at Purdue University, and serves as research director of the Center for Education and Research in Information Assurance and Security (CERIAS) and Interim Director of Cyber Center (Discovery Park). Previously, she was a faculty member and department head at the Department of Computer Science and Communication of the University of Milan. Her main research interests include security, privacy, digital identity management systems, database systems, distributed systems, and multimedia systems. She is currently serving as chair of the ACM SIGSAC and as a member of the editorial board of the following international journals: *IEEE Security & Privacy*, *IEEE Transactions on Service Computing*, *ACM Transactions on Web*. She also served as editor in chief of the *VLDB Journal* and editorial board member of *ACM TISSEC* and *IEEE TDSC*. She co-authored the book "Identity Management - Concepts, Technologies, and Systems". She is a fellow of the IEEE and a fellow of the ACM. She received the 2002 IEEE Computer Society Technical Achievement Award for outstanding contributions to database systems and database security and advanced data management systems and the 2005 IEEE Computer Society Tsutomu Kanai Award for pioneering and innovative research contributions to secure distributed systems.